CITY OF ALBUQUERQUE

CAPER

Fifth Program Year CAPER

HUD CPD Consolidated Planning

3/31/2013

The 2012 Consolidated Plan and Evaluation Report (CAPER) is a discussion of the uses of the City of Albuquerque's Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Shelter Grant (ESG) Entitlement funds over the 2012 Program Year. The Report summarizes the amounts received how monies were allocated and spent, and what specific objectives were accomplished in the areas of Affordable Housing, Public Facilities, Public Improvements, Public Services, and Homeless Intervention and Prevention services.

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Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

General Questions

1. Assessment of the one-year goals and objectives:
   a. Describe the accomplishments in attaining the goals and objectives for the reporting period.

Funds received in 2012	CDBG PY2012	HOME PY2012	ESG PY2012
Entitlement	$3,775,545	$1,581,164.00	$346,062.00
Program Income	$98, 814.65	$150,297.20	* PI not received
Match	*Match not required	$491,783.00	$346,062.00

HOME Program Income of $150,297.20 was received from reimbursements of Down Payment Assistance and Housing Rehab Loans.

CDBG Program Income of $98,814.65 was derived from Housing Rehab Loan repayments and Economic Development Loan Fund repayments.

Grant	Available	Committed	Expended
HOME 2012 Entitlement: HOME 2012 Program Income: HOME 2012 Match:	$1,581,164.00 $150,297.20 $491,783.00	$79,058.20 $150,297.20 $0.00 (older funds were used)	$79,058.20 $150,297.20 $0.00 (older funds were used)
CDBG 2012 Entitlement CDBG Program Income:	$3,775,545 $98,814.65	$2,681,601.00 $98,814,65	$1,152,813.19 $98,814.65
ESG/HESG 2012 Entitlement: 2012 Match:	$346,062.00 $346,062.00	$346,062.00 $206,407.90	$213,989.60 $28,514.77 (older funds were also used)

b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.
c. If applicable, explain why progress was not made towards meeting the goals and objectives

Increase Affordable Housing Opportunities

Objective 1: Increase supply of affordable housing opportunities for low to moderate income City residents.

Project	Status	Total # of Units	# of Affordable Units	Funds
Copper Square 5th & Copper	Project canceled due to lack of interest from any AHDO/CHDO to develop such a project at project site	70	50	$0.00
4th Street NW	Project was to acquire land but was canceled due to title-related issues. . Funds will be reprogrammed for 2013 CY.	TBD	TBD	$0.00
Indian School & Broadway(Plaza Cuidana)	Project Underway. To be completed in 2013	68	56	$2,581.500(WFHTF) $121,191.00 (HOME)
1023 Central NW	Project is underway and is expected to be completed in PY2013.	10	10	$1,078,000.00 (HOME) $500,000.00 (CDBG)
7th & Iron	Project was on-hold for 2012 PY. Developer had to re-submit application. Project now planned to be implemented in PY2013.	4	4	$620,864.00 (WFHTF) $200,000.00 (HOME)
Madera Crossing	Project canceled. Not-for-profit Developer was unable to secure financing.	56	56	$0.00
Mixed Use for Urban Native Americans	Project canceled. Project application not completed by Developer.	60	60	$0.00
Casitas de Colores	Project Underway and is expected to be completed by 12/31/2014	71	49	$2, 750, 000.00 (WFHTF) $1,500,000 (HOME)
Sundowner	Project Underway. Project scheduled to be completed in PY 2013.	71	71	$2,112,908.00 (WFHTF)
Luna Lodge	Project is completed	30	30	$1,241,523.00 (WFHTF)

Trujillo Road	Project is Underway. To date 11/16 homes constructed and 9 homes sold. Project scheduled to be completed in PY2013.	16	16	$800,000.00 (HOME)

Objective 2: Increase sustainable Housing opportunities for low to moderate income City residents.

Project	2012 Accomplishments	Funds
Home Owner Rehabilitation program	Closed on 10 loans and completed 5 construction projects during Program Year 2012. This is a multi-year contract and Program is on-going.	$410,589.94
Home Retrofit Program	1,622 Home repairs for seniors and/or persons with a disability	$146,570.00
Emergency/Minor Home Repair Program	506 home repairs for low to moderate income residents	$800,000.00

Objective 3: Increase access to affordable housing opportunities for low to moderate income City residents.

Project	2012 Accomplishments	Funds
Fair Housing Education and Outreach	Conducted outreach and education workshops at two Albuquerque apartment complexes (Silver Gardens and 700 2nd Street) where the majority of the audience was people with disabilities (17 attendees). Implemented workshop at ILRC for the deaf and hard of hearing population and had 4 attendees, plus some staff members for a total of 8 attendees. In addition to these individual workshops, we have incorporated a section on Fair Housing into our existing Homebuyer Education classes. That information reached an additional 24 people that attended our classes throughout the last 3 quarters of the year.	$23,500.00/CDBG
Landlord/Tenant Hotline	1330 City residents received information regarding Landlord/Tenant Rights	$74,999.00 CDBG

Down Payment Assistance	14 households assisted	$0.00 *older HOME monies used.
Homebuyer Counseling	214 households received homebuyer counseling and/or foreclosure counseling.	$63,500.00 CDBG

Public Facilities and Improvements

Objective 1: Improve neighborhood conditions in target low to moderate income areas.

Project	2012 Accomplishments	Funds
Cesar Chavez Security Cameras	Project completed. 52,000 City residents potentially benefitted.	$49,613.60 (2009 CDBG)
Barelas Footbridge	Project Underway. 60% complete. Scheduled to be completed in PY2013	$50,000.00 (2010 CDBG)
San Pablo Pedestrian Lights	Project on-hold. Project scope of work and budget not yet submitted.	$0.00
Neighborhood Clean-up	Project completed 11,188 City residents potentially benefitted.	$13,921.00 * Paid for out of CDBG Public Service funds
Tree Planting	Project not implemented due to budget cuts.	$0.00
Barelas Community Center Security Improvements	Completed	$47,832.00 (2009 funds)

Objective 2: Increase access to public facilities and services for target special need homeless and near homeless populations.

Project	2012 Accomplishments	Funds
Step Out	Project Underway. Construction to commence and be completed by 11/30/2013 to benefit 6 homeless youth.	$1,561,957.00 /CDBG
MHP Respite Care	Project Underway and is scheduled to be completed in PY2013 to benefit an estimated 270 homeless men per year.	$1, 921, 102.00 CDBG

Objective 4: Increase Spot Blight and Clearance Activities.

Project	2012 Accomplishments	Funds
Safe City Strike Force	6808 Central SE 27 rooms 918 Central SW 58 rooms	*2010 Funds used $107,553.00 to date

Public Services

Objective 1: Increase access to Public Services for target low Income special needs populations.

Project	2012 Accomplishments	Funds
Senior Meals	1346 persons	$97,659.00
Eviction Prevention	678 people	$109, 400.00 /CDBG
Early Childhood Intervention for Homeless Families	98 people	$9,000.00 /CDBG
Job Training for low income Immigrant women	30 Immigrant women	$23,000.00 /CDBG
Low Income Dental	3,852 persons	$120,000.00 /CDBG
Homeless dental	1,558 persons	$62,000.00 /CDBG
Motel Vouchers	398 households	$18,800.00 /CDBG

Homeless Intervention and Prevention

Objective 1: Increase Emergency Housing opportunities for Homeless populations.

Project	2012 Accomplishments	Funds
St. Martin's Day Shelter	75,800 people	$148,220.00 /HESG
Rescue Mission	3,516 people	$133,462.00/HESG
Good Shepherd	3,120 people	$63,000.00/HESG
Barrett House	786 people	$20,000.00/HESG

Objective 2: Increase Rapid Re-housing opportunities for homeless populations.

Project	2012 Accomplishments	Funds
Rapid re-housing	25	$109,000.00

2. Describe the manner in which the recipient would change its program as a result of its experiences.

The City of Albuquerque did not meet its CDBG timeliness test for Program Year 2012. The main reason for this was because the City was allowing its older CDBG Public Facility/Improvement funds to accumulate in order to implement one or more large Homeless Facility Improvement projects during its 2012 Program Year. To meet this objective, the City initiated a Request for Proposals (RFP) Process in 2011 to solicit innovative and effective proposals from qualified non-profit organizations capable of completing a Public Facility Improvement project that would: a) increase access to services for persons experiencing homelessness, and/or b) improve the quality of services currently being provided to persons experiencing homelessness or persons "at-risk" for experiencing homelessness. The City awarded $2,238,200.00 in older CDBG funds to projects identified through this RFP process. Additionally the City awarded another $1,094,046.00 in 2012 CDBG Public Facility monies to these projects. The City will also complete a project for persons with AIDS and has awarded this project $500,000.00 in 2010 CDBG funds. Consequently, by the Second Quarter of Program Year 2013, the City expects to have expended an estimated $5,458,230.24 of CDBG funds, placing the City back in compliance with the 2012 Timeliness Test.

Assuming that 2013 CDBG funding remains constant, the City should meet its 2013 Program Year CDBG Timeliness Test as it will expend enough funds to bring the LOC Balance of the grant to approximately $1.8 Million, giving a .48 ratio (or 1.48 including 2013 funds). By the November 2013, the City anticipates that the ratio should be closer to 1.3, and clearly in compliance with the 2013 Timeliness Test. If all project expenditures stay on schedule and follow the CDBG Workout Plan Spreadsheet attached as Exhibit A, the City will meet its 2013 Program Year CDBG Timeliness test.

In terms of implementing organizational practices to effectively manage the City's 2013 HUD Action Plan, the Community Development Division has set-up the Plan and Coordinate Team. This Team is comprised of the Community Development Manager, Planners, Fiscal Division Manager and Managers from the Office of Neighborhood Revitalization (ONR), Affordable Housing, Homeless Prevention, and Health and Social Services teams. The Plan and Coordinate Team will meet bi-weekly to oversee and analyze the 2012 Action Plan progress to ensure that the Step-Out, Respite Care, 1023 Central projects and all CDBG Public Service projects are on schedule.

3. Affirmatively Furthering Fair Housing:
   a. Provide a summary of impediments to fair housing choice.

In City of Albuquerque's Analysis of Impediments to Fair Housing Choice (BBC Research & Consulting), the predominant impediments to fair housing in Albuquerque were identified as follows:

- Shortage of affordable housing
- Lack of handicap accessible housing
- Housing discrimination because of race
- Lack of resources to serve tenants with fair housing concerns
- Lack of fair housing education and awareness

b. Identify actions taken to overcome effects of impediments identified.

Impediments	Actions Taken / Results
Shortage Of Affordable Housing	In 2012 the City continued to implement its homeowner and multi-family rental new construction programs and to provide down payment assistance loans to eligible first time low to moderate income homebuyers. The City worked with non-profit providers and the New Mexico Coalition to End Homelessness to utilize additional ESG allocation for rapid re-housing of women and women with children who are staying at emergency shelter.
Lack Of Handicap Accessible Housing	The City continued to fund emergency home repair and home retro fit projects The City also funded **the Independent Living Resource Center** (**ILRC)** to provide housing counseling services as well as assist persons with disabilities to purchase homes.
Housing Discrimination	The City required housing contractors to submit **affirmative marketing plans** with each application for funding.
Lack Of Resources To Serve Tenants With Fair Housing Concerns	The City continued to fund the landlord/tenant hotline to inform low-income persons of their rights and responsibilities under the New Mexico Tenant Landlord Law. (CDBG) The City also funded the Independent Living Resource Center (ILRC) to provide Fair Housing education and outreach services to target populations.
Lack Of Fair Housing Education and Awareness	**Neighborhood Stabilization Program** – contractors were required to describe how they incorporated Visitability elements into the rehabilitation of foreclosed properties or document why it was not feasible. **Universal Design-New Mexico** is a statewide not-for-profit, and was created as a result of eight years of work undertaken by the Affordable Housing Committee's Universal Design Subcommittee, with a purpose of increasing housing education and awareness. The City also funded the Independent Living Resource Center (ILRC) to provide Fair Housing education and outreach services to target populations.

4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.

The City continued implementing the *Albuquerque Heading Home*, a community initiative designed to bring together the non-profit community, the business sector, government and individual volunteers to quickly and permanently house those who

are experiencing chronic homelessness and who have the most vulnerable risk of morbidity. As of the end of the 2012 calendar year over 150 previously chronically homeless individuals were housed as part of this initiative.

In 2012 the City administered a $1.5M Substance Abuse and Mental Health Services Administration (SAMHSA) grant. These funds assisted in providing supportive services to *Albuquerque Heading Home* participants as well as provide funding for a program director, an evaluator, an outreach coordinator, a housing specialist, a SOAR Specialist and 4 case managers.

The City also continued to work closely with the New Mexico Coalition to End Homelessness to expand the supply of safe, affordable and decent housing, particularly for very-low Income residents, and extending supportive services for those who require support to gain and remain in housing.

Lastly in 2012 the City continued implementing tow Public Improvement projects targeted at underserved homeless and near homeless populations. These two projects are described below..

Step Out: New construction of an approximate 5,319 square foot facility located at 2820 Ridgecrest SE, Albuquerque, NM 87108, to house the New Day Transitional Living Program. The Program shall have a transitional living shelter comprised of six (6) one-bedroom apartment-style living quarters in addition to a teaching kitchen, teaching laundry room, computer lab, garden, "wet" room, and a multi-use classroom. This project is on-going. Construction to commence and be completed by 11/30/2013

Respite Care: Construction of the Albuquerque Opportunity Center, Respite Care Project. The Project shall consist of the construction and renovation of approximately 7,800 square feet of facility located at, 715 Candelaria NE, Albuquerque, New Mexico 87107. The Project shall include: approximately 1700 square feet of renovation of the existing facility to accommodate restrooms and showers; approximately 2,500 square feet of new construction for the addition of respite-care, food service, and laundry; and approximately 3,600 square feet of portable installation and renovation, to be used for activities and rehabilitation services, counseling offices, classroom and computer services, client and facility storage. Once completed, this project will allow MHP to provide medical respite-care to an estimated (270) two hundred seventy homeless men. The program shall have a dormitory comprised of (20) twenty beds in addition to (4) four portable buildings. This project is on-going. Construction to commence and be completed by 08/31/2013

5. Leveraging Resources

a. Identify progress in obtaining "other" public and private resources to address needs.

2012 Action Plan - Resources		
FEDERAL FUNDING	**CY 2012**	**FY 2012**
Community Development Block Grant		
CDBG Grant	$3,775,545.00	
Program Income	$98,814.65	
Total CDBG	**$3,874,359.65**	
HOME Investment Partnerships Fund		
HOME Grant	$1,581,164.00	
Program Income	$150,297.20	
Match	$491,783.00	
Total HOME	**$2,223,244.20**	
Emergency Shelter Block Grant		
ESG Grant	$346,062.00	
Match	$346,062.00	
Total ESG	**$692,124.00**	
HOPWA	**$324,634.00**	
Continuum of Care	**$4,600,000.00**	
LIHTC		
Plaza Feliz	$2,016,901.00	
Sundowner	$2,643,614.00	
Luna Lodge	$2,236,780.00	
Casitas De Colores	$1,039,958.00	
Plaza Cuidana	$7,680,432.00	
Total LIHTC	**$15,617,685.00**	
Total Federal Funds	**$27,332,046.85**	
CITY RESOURCES		
General Fund/Public Safety Tax		$5,651,500.00
Workforce Housing Trust Fund		$8,831,855.00
Total City Funds		**$14,483,355.00**
GRAND TOTAL ALL FUNDING		**$41,815,401.85**

b. How Federal resources from HUD leveraged other public and private resources.

Projects	HUD Funds Invested	City General Funds	Other*
Dental Healthcare Services for Persons Experiencing Homelessness	$62,000.00 CDBG	$66,000.00	
Motel Vouchers for Persons Experiencing Homelessness	$19,800.00 CBDG $4,000.00 HESG	$61,295.00	
Early Childhood Intervention Services for Children experiencing homelessness	$9,000.00 CDBG	$18,050.00	
Winter Homeless Shelter	$133, 462.00	$154,000.00	
Good Shepherd Homeless Shelter			$63,000 HESG Match
Barrett Shelter	$12,000.00 CDBG $20,000.00 (HESG)	$12,000.00	
Affordable Housing	$3,699,191.00 HOME $500,000.00 CDBG		$8, 831, 855.00 WFHTF $15,617,685.00 LIHTC

* Other funds include funds from the City of Albuquerque's Work Force Housing Trust Fund (WFHTF) as well as monies from the Low Income Housing Tax Credit (LIHTC) Program and City match funds.

c. How matching requirements were satisfied.

In 2012 the City matched 100% of the Federal monies drawn. Match requirements of 25% were met.

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

Error! Reference source not found.CAPER Managing the Process response:

Plan Development

In the development of the *2008-2012 Consolidated Plan*, the City held **12 focus groups** comprised of residents with specific needs, not-for-profit organizations serving low- and moderate-income persons, and representatives of private industry.

The staff worked with numerous committees, whose members represented a wide array of industries, opinions and philosophies, to assess housing and community development needs and develop solutions. Four public hearings were held to gain further comments on program and policy direction.

2012 CAPER Process:

Please see Appendix D to review the information that was presented to the Community at the Public Meeting on the 20th of February 2013. The Power Point Presentation that was given at the Public Meeting was also available during the 30-day Public Comment period on the Department's website. Printed copies of the both the Power Point Presentation and the 2011 CAPER were available at the Public Meeting which was held at the Los Griegos Multi Service Center at 5:30 pm. There were no Public comments received at the Public Meeting or during the 30-Day Public Comment Period.

Citizen Participation

1. Provide a summary of citizen comments.

ACT Team Member	Representing
Gene Komninos	District 1
Lee Julian	District 2
Bill Cobb	District 3
Teresa Reinhard	District 4
Laura Horton	District 5
Joanne Landry	District 6
Ed Boles	Planning Department
Gabe Rivera	Metropolitan Redevelopment Agency

The City received the following comments on the 2012 Action Plan. The comments and the City's responses to each comment are provided below.

Comment #1:

Why will the City be requesting less in ESG funding in 2012 than in 2011? Congress allocated increased funding in the ESG program for the FY11 federal budget. The additional funds must be used for prevention and rapid re-housing activities as newly allowed under the HEARTH Act.

Response:

The City does not request the amount of ESG funding. HUD determines what is allocated for the City. The ESG amount allocated for the City of Albuquerque in 2011 was $523.00 less than 2010. The 2012 Action Plan is based on the actual award for 2011. The City of Albuquerque is notified of its allocation through an award letter and it is also posted on the HUD's website.

Comment #2:

Does the DeAnza affordable housing project in Rick Giron's table have any relationship to the DeAnza Motor Lodge, a City Metropolitan Redevelopment project?

Response:

Yes. The DeAnza that was mentioned in the table was a placeholder for the original DeAnza re-development project proposed by Newlife Homes. Unfortunately, Newlife Homes was not awarded the project by the MRA and thus is no longer included in this Action Plan.

Comment #3:

The City's Metropolitan Redevelopment Agency and the City's Department of Family and Community Services should work together.

Response:

They should. Staff will continue to work on strengthening processes and opportunities for collaboration between City departments.

Comment #4:

In reading the 2012 Action Plan and Investment Summary, I did not see construction funds for our Indian School and Broadway development. We are requesting $1.3 million for that purpose. If you would please add that amount for our Indian School and Broadway Development that would be greatly appreciated. As currently proposed we are looking at 72 units with 50 units at 50% AMI, 12 units at market, 5 units @40% of AMI, and 5 units at 60% of AMI.

Response:

The Correction has been made to include the Indian School and Broadway Rental Housing Development project.

Comment #5:

These proposed cuts (to Community Dental) will have a direct impact on our patients. Because of the current economy , more and more people are qualifying for our services. Most of these people are lined up at our doors with emergency situations: severe toothaches, swollen faces, broken teeth, bleeding gums, etc. They are desperate, in pain, abscessed and have no place else to go-except to Community Dental. These are extremely dangerous situations. Cuts in our funding will make it even worse.

Response:

Thank you for taking the time to comment on the proposed 2012 Action Plan. The Department of Family and Community Services continues to support the valuable work being done by Community Dental for low income City residents. However, the Department is facing funding reductions in its 2012 CDBG Program from the federal government. The Department received a 16% decrease in CDBG Federal funds in 2011 but did not pass these reductions onto its programming partners in 2011 but instead worked to bridge this gap in other ways. In 2012 the City is again anticipating a funding decrease in CDBG funds. The City is planning for a 16% decrease in CDBG funds but there have been rumors that the reductions to CDBG could be as large as 60%. These are indeed difficult economic times and the consequences of the bad economy are being felt by everybody. In 2011 there were significant budget shortfalls which resulted in many program cutbacks and all City employees took pay reductions as well as mandatory unpaid days off.

The decision to potentially reduce funding to Community Dental in 2012 was a very difficult decision. Several other programs are facing funding reductions in 2012 as well. All of these programs are of high quality and provide necessary services to our communities. The only programs funded through CDBG Public Service monies not currently facing 2012 Program Year funding reductions are those programs that provide homeless intervention and prevention services and/or meal services to senior citizens.

It is the intention of the Department of Family and Community Services to review its 2011 CDBG expenditures in the next few months to determine if there are any older CDBG funds that will be unspent and therefore available to use for those 2012 programs that are facing funding reductions.

There were comments received for the 2012 CAPER Citizen Participation Process.

2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures.

Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Please see Appendix E to review the information that was presented to the Community at the Public Meeting on the 20th of February. The Power Point Presentation that was given at the Public Meeting was also available during the 30-day Public Comment period on the Department's website. Printed copies of the both the Power Point Presentation and the 2012 draft CAPER were available at the Public Meeting.

Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Error! Reference source not found.CAPER Institutional Structure response:

Throughout 2012, key staff members were involved in "HUD HOME Training", on-going training on the CPD Performance Measures and discussions on how to include these measures in agency contracts, City Planning documents, and agency project reports and updates. Staff also increased their knowledge of IDIS set-up and maintenance procedures from online webcasts, available IDIS manuals, and, other CPD training materials. City employees also distributed CPD training materials to CHDO partners and City Council members to facilitate a greater understanding of and compliance with HUD regulations.

Projects funded with CDBG were certified through an updated check list that documented the national objective to be followed and verified that the activity was eligible, i.e., public facilities and improvements, economic development, housing or public services. Public service category costs were reviewed to ensure the City did not exceed its allowable cap. Projects funded using HOME funds were extensively reviewed by the Affordable Housing Review Committee (AHRC) to ensure initial eligibility and compliance with HUD regulations.

Also to increase the capacity to provide services to homeless populations and those populations "at-risk" for homelessness, the City continued to work with the non-profit community, the business sector, government and individual volunteers to implement the *Albuquerque Heading Home* homeless initiative. Using the Housing First model, *Albuquerque Heading Home* worked to house those who are experiencing chronic homelessness and were most "at-risk" for dying due their homelessness.

To assist with funding for *Albuquerque Heading Home* the City administered a $1.5M SAMHSA (Substance Abuse and Mental Health Services Administration) grant. These funds provided supportive services to *Albuquerque Heading Home* participants as well as provide funding for a program director, an evaluator, an outreach coordinator, a housing specialist, and case managers.

Monitoring

1. Describe how and the frequency with which you monitored your activities.

In 2012, the City continued its monitoring process for all agencies with City contracts. Each agency received a minimum of one on-site visit. These on-site reviews included both a **fiscal** and **programmatic** review of the agency's activities. The reviews determined if agencies were compliant with the governing regulations for the program. Areas routinely reviewed include overall administration, board of directors' involvement, program delivery methods, compliance with client eligibility determination, reporting systems, progress toward achieving contractual goals and appropriateness, financial systems, and verification of eligibility and appropriateness of expenditures.

Following the monitoring visit, agencies were sent a written report detailing the results of the review and any areas found not in compliance. Agencies are normally given 30 days to provide the City with corrective actions taken to address any noted findings. In addition to the **on-site reviews**, each agency is required to submit an audit which is reviewed by the Department. Findings noted in the independent audit are reviewed during the on-site monitoring visit to ensure the agency has taken the required corrective actions. Agencies must also submit quarterly progress reports that are reviewed.

The City also monitored **housing projects** which, because of HOME or CDBG funds, are required to provide affordable rental housing for a specified number of years after the term of the original contract that conveyed the funds. Both the number of units, which are subject to rent caps, as well as the number of years in which the property is required to remain affordable, are detailed in restrictive covenants placed on the property and filed in the office of the Clerk of Bernalillo County. Agencies are required to submit annual reports for these projects, which include a tenant roster detailing income, family size, race, ethnicity, rent amount and unit occupied and agency financial statements. Additionally, each project is visited a minimum of one time annually.

City staff members also conducted **Housing Quality Standards (HQS) inspections** as well as reviewed tenant files to verify income eligibility and income verification, compliance with HOME lease requirements, if applicable, and other tenant related aspects of the program such as compliance with allowable rent schedules. City fiscal staff reviewed the financial records, including, but not limited to, property operating expenses, reserve accounts, proper escrow of security deposits, rental payments, etc. In all instances, a written report is issued detailing the results of the monitoring visit and any corrective actions necessary. Typically, a written response detailing corrective actions taken by the agency is required within

30 days of issuance of the report. In the event there are any life, health or safety conditions noted as a result of the HQS inspection, the City may require a quicker response in repairing any deficient items noted.

2. Describe the results of your monitoring including any improvements.

Through DFCS monitoring procedures, City staff ensured both compliance to all applicable regulations and also Contractor performance towards goals. This evaluation allowed staff to recognize when there are issues with Contractors internally, through the review of Client Files, Personnel Files, Board Meeting Minutes, General Ledger, Bank Statements, External Audits, etc. In addition, by interviewing Contractors, City staff can understand the possible external factors that can contribute to the Contractor's inability of meeting such goals. Such an example is the national housing market crash of 2008. This event not only haulted construction of many housing developments, but also caused many obstacles to potential homebuyers qualifying for home mortgages. Therefore, our monitoring provides a comprehensive view of Contractors and their compliance with goals and regulations when utilizing either HUD or City Funding.

3. Self Evaluation
   a. Describe the effect programs have in solving neighborhood and community problems.

The City of Albuquerque implemented an Affordable Housing Development Program, a Homeowner Occupied Home Rehabilitation Program, a Neighborhood Stabilization Program, a Public Service Program, a Public Facility and Improvement Program, and a Homeless Prevention and Intervention Program. In order to use limited resources effectively, the City focused its program activities in targeted areas where possible. For example, as demonstrated in other sections of this CAPER, the City has used much of its Affordable Housing Development activities, Neighborhood Stabilization activities and its Public Facility and Improvements activities to focus on three Community Planning Areas (CPAs), the Near Heights, the Central Albuquerque, and the Southwest Mesa CPAs. The City has adopted this approach in order to work more comprehensively in those communities that are most "at-risk" for increases in homelessness, poverty, disinvestment, gentrification and blight.

   b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.

In the past few years the City of Albuquerque has tried to focus its resources on developing program strategies that work comprehensively in targeted areas. As a result, by the end of its 2008-2012 Plan the City will have added over 459 quality affordable rental housing units in priority Community Planning Areas. Eighty Four (84) of these units will be for low-income senior residents and 138 units will be for persons with special needs and near homeless populations. Many of these affordable housing rental projects are mixed income, mixed use projects. The City's policy to develop mixed income/mixed use development projects has helped to ensure that lower income persons do not get segregated into low-income neighborhoods and has helped revitalize neighborhoods that have been experiencing disinvestment.

   c.

Over the past few years the City of Albuquerque has tried to focus its resources on developing program strategies that work comprehensively in targeted areas. As a result, by the end of its 2008-2012 Plan the City will have added over 459 quality affordable rental housing units in priority Community Planning Areas. Eighty Four (84) of these units will be for low-income senior residents and 138 units will be for persons with special needs and near homeless populations. Many of these affordable housing rental projects are mixed income, mixed use projects. The City's policy to develop mixed income/mixed use development projects has helped to ensure that lower income persons do not get segregated into low-income neighborhoods and has helped revitalize neighborhoods that have been experiencing disinvestment.

d. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

In addition to affordable rental housing projects, the City has also invested in quality homeowner Projects. These projects are also located in targeted areas where neighborhoods have been experiencing blight and disinvestment. These homeownership projects have helped to stabilize neighborhoods by creating permanent housing opportunities for low-income persons so that these persons can in turn invest their time, energies, and resources into neighborhood businesses, schools, public facilities and socio-political concerns.

In addition to improving local communities through affordable housing projects, the City also used its CDBG funds to support services to low-income City residents that otherwise would go unfunded; projects such as the Emergency/Minor Home repair program through the Red Cross. Without this important program more than 506 households would be still be living in dangerous conditions. Another important program that the City funded in 2012 was the Eviction Prevention Program which assisted 678 households to retain their housing. The City also provided CDBG Public Services monies to fund a Senior Meal Project which provided over 1,346 seniors with nutritious meals in 2012.

e. Indicate any activities falling behind schedule.

Public Improvement Program:

The City of Albuquerque did not meet its CDBG timeliness test for Program Year 2012. The main reason for this was because the City was allowing its older CDBG Public Facility/Improvement funds to accumulate in order to implement one or more large Homeless Facility Improvement projects during its 2012 Program Year. To meet this objective, the City initiated a Request for Proposals (RFP) Process in 2011 to solicit innovative and effective proposals from qualified non-profit organizations capable of completing a Public Facility Improvement project that would: a) increase access to services for persons experiencing homelessness, and/or b) improve the quality of services currently being provided to persons experiencing homelessness or persons "at-risk" for experiencing homelessness. The City awarded $2,238,200.00 in older CDBG funds to projects identified through this RFP process. Additionally the City awarded another $1,094,046.00 in 2012 CDBG Public Facility monies to these projects. The City will also complete a project for persons with AIDS and has awarded this project $500,000.00 in 2010 CDBG funds. Consequently, by the Second Quarter of Program Year 2013, the City expects to have expended an estimated

$5,458,230.24 of CDBG funds, placing the City back in compliance with the 2012 Timeliness Test.

Assuming that 2013 CDBG funding remains constant, the City should meet its 2013 Program Year CDBG Timeliness Test as it will expend enough funds to bring the LOC Balance of the grant to approximately $1.8 Million, giving a .48 ratio (or 1.48 including 2013 funds). By the November 2013, the City anticipates that the ratio should be closer to 1.3, and clearly in compliance with the 2013 Timeliness Test. If all project expenditures stay on schedule and follow the CDBG Workout Plan Spreadsheet attached as Exhibit A, the City will meet its 2013 Program Year CDBG Timeliness test.

In terms of implementing organizational practices to effectively manage the City's 2013 HUD Action Plan, the Community Development Division has set-up the Plan and Coordinate Team. This Team is comprised of the Community Development Manager, Planners, Fiscal Division Manager and Managers from the Office of Neighborhood Revitalization (ONR), Affordable Housing, Homeless Prevention, and Health and Social Services teams. The Plan and Coordinate Team will meet bi-weekly to oversee and analyze the 2012 Action Plan progress to ensure that the Step-Out, Respite Care, 1023 Central projects and all CDBG Public Service projects are on schedule.

Also, because of an unstable and slow housing market, the City's single family new construction projects continued to fall behind schedule. While construction activities occurred as planned, developers continued to have difficulties in selling and occupying units. Consequently, in 2012, City staff worked closely with Developers to monitor the housing market and increase marketing strategies as well as to increase down payment assistance to stalled projects in hopes of attracting eligible homebuyers. Despite increased incentives, however, the City's inventory of single family new constructions units remained high. According to Developers, one main reason for the lack of sales was because in 2012 potential first time homebuyers still faced increased difficulties in obtaining first mortgages due to changes in lending practices brought on by the economic downturn. Also it can be argued that because of fluctuations in the job market, consumer confidence in the overall economy remained lower than in previous years and therefore also contributed to a slow-down in housing sales.

Following a slower than anticipated start, the City's Homeowner Rehabilitation Program, located in the Office of Neighborhood Revitalization in the Barelas neighborhood, began construction in early 2012 on its first projects. By the end of the year, the Program completed rehabilitation services on 5 owner-occupied houses; 3 of which closed on rehabilitation loans during December 2011. The Program closed on 9 rehabilitation loans during 2012 and construction on 7 of these projects will continue during PY2013. During the course of the program year, the Program continued to adjust its processes to shorten wait times, conducted outreach to publicize its services to low-income homeowners to build a pool of qualified applicants, and worked with its job order contractor to provide necessary improvements to substandard housing.

f. Describe how activities and strategies made an impact on identified needs.

Please see the response to question "b" above.

g. Identify indicators that would best describe the results.

Increase # of affordable housing units in target areas.
Increase # of housing units affordable to persons with disabilities
% of affordable housing development projects in target areas that are mixed income

h. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

Because of an unstable and slow housing market, the City's single family new construction projects continued to fall behind schedule. While construction activities occurred as planned, developers continued to have difficulties in selling and occupying units. Consequently, in 2012, City staff worked closely with Developers to monitor the housing market and increase marketing strategies as well as to increase down payment assistance to stalled projects in hopes of attracting eligible homebuyers. Despite increased incentives, however, the City's inventory of single family new constructions units remained high. According to Developers, one main reason for the lack of sales was because in 2012 potential first time homebuyers faced increased difficulties in obtaining first mortgages due to changes in lending practices brought on by the economic downturn. Also it can be argued that because of fluctuations in the job market, consumer confidence in the overall economy remained lower than in previous years and therefore also contributed to a slow-down in housing sales.

i. Identify whether major goals are on target and discuss reasons for those that are not on target.

In 2012 the City's Community Development Division did not meet its 2012 CDBG Timeliness Test. This was mainly due to the fact that the community planning process for the development of a Public Facility Improvement project that would serve the needs of chronically homeless populations fell behind schedule. Consequently over $2 Million in CDBG monies that had been set-aside for this project remained unspent. Although not in time to meet the CDBG Timeliness Test, these funds were made available through the City's Request for Proposal process and were subsequently awarded. Two projects were selected and are expected to be completed in 2012. The City does not expect to miss its Timeliness test again.

j. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

As part of its strategy to focus resources on the City's most vulnerable residents during 2011, the City made adjustments to its affordable housing program. Although the City's Five Year Consolidated Plan called for the continued construction of additional single family units, in 2011 the City invested its housing funds in the development of affordable rental units for Very Low Income and Near Homeless populations as well as persons with disabilities. This change was made so that City residents already "at-risk" for homelessness would have increased affordable housing opportunities during this economically challenging time. The City continued implementing this change in 2012.

Also, to help foster and maintain affordable housing, the City's Home Owner Occupied Rehabilitation Program was designed specifically to make applying for a rehabilitation loan as easy as possible; thus eliminating some of the barriers to participating in the Program and expanding the number of applicants. Oftentimes homeowners do not apply for the Program because of the difficulty in keeping appointments with city staff. They may not have transportation, or they are unable to take off from work, or some potential applicants are elderly, homebound, and/or have disabilities. Rehabilitation staff have "mobile offices" and may conduct intake, pre-construction meetings, etc., in the applicant's home or during off hours. When applicants are mobile, the Program's offices provide free and easily accessible parking as well.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Error! Reference source not found.CAPER Lead-based Paint response:

The City is committed to eliminating lead-based paint hazards (LBPH). In the event LBPH is found, the City abates the hazards, thus insuring that the environment is safe for the current homeowner(s) and any future homeowner(s). Additionally, all homes assisted through the first-time homebuyer programs, if built prior to 1978, are evaluated for LBPH and if evident, the LBPH is encapsulated or abated.

In 2012 the City's new Home Owner Rehabilitation Program began delivering services to qualified homeowners. Fifteen inspections were completed and five abatements were performed in 2012. The NSP performed no lead based paint testing during the year.

HOUSING

Housing Needs

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Error! Reference source not found.CAPER Housing Needs response:

The Homeowner Rehabilitation Program held numerous community meetings and attended neighborhood association meetings throughout the program year to inform homeowners and neighborhood organizers of services available for low income homeowners. The Office of Neighborhood Revitalization worked with the City's Department of Senior Affairs and the American Red Cross, which provide retrofit and emergency minor home repairs services respectively, to assist homeowners in maintaining their properties and making them safer for persons with disabilities.

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

Increase supply of affordable rental housing

Project/Status	CPA	Total # of Units	# of Affordable Units	HUD Specific Objective
Copper Square 5th & Copper/Canceled	Central Albuquerque	70	50	Increasing the availability of affordable permanent housing
4th Street NW/Canceled	North Valley	TBD	TBD	Increasing the availability of affordable permanent housing
Indian School & Broadway Plaza Cuidana Underway	Central Albuquerque	72	39 affordable @ 31-50% 17 affordable @51-80% 12 Market Rate 5 units for special need	Increasing the availability of affordable permanent housing
1023 Central NW Underway	Central Albuquerque	10	10 affordable @ 0-30% All 10 units for HIV/AIDS	Increasing the supply of supportive housing

7th & Iron/Canceled	Central Albuquerque	4	4	Increasing the availability of affordable permanent housing
Madera Crossing/Canceled	Central Albuquerque	56	56	Increasing the availability of affordable permanent housing
Mixed Use for Urban Native Americans/Canceled	Near Heights	60	60	Increasing the supply of supportive housing
Casitas de Colores/Underway	Central Albuquerque	71	15 affordable @ 0-30% 34 affordable @ 31-50%	Increasing the availability of affordable permanent housing
Sundowner/Underway	Near Heights	71	18 units for Special need	Increasing the supply of supportive housing
Luna Lodge/Underway	Near Heights	30	30 affordable @ 31-50% 10 units for special needs	Increasing the availability of affordable permanent housing

Community Planning Area	Specific Objective	2012 Proposed Total units	2012 Actual Units
City-wide	Improve quality of affordable owner housing	682 households	2,133 households
	Improve access to affordable owner housing	900 households	214 households
	Improve access to affordable rental housing	500 households	1375 households

Priority Need Category	Project Type	Proposed # of Units	Actual # of Units	Housing Need
Renter	Rehabilitation	150 units	111 units	>30% >= 80% (Small related)
Owner	Rehabilitation	12 Units	0 units	>50% >= 80% (Small related)
		10	5 Units	>30% <= 80% (Small related/Elderly)

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

2012 Proposed Accomplishments	**2012 Actual**
10 Total Home Units	10 Units
8 High	8 High
2 Low	2 Low

3. Describe efforts to address "worst-case" housing needs and housing needs of persons with disabilities.

In 2012 the City continued to invest a greater portion of its funds, both federal and City Workforce Housing Trust Funds, into rental development projects for Very Low Income City residents and persons with disabilities. A main design feature of the majority of these projects was that residents have access to supportive services and/or case management services onsite. Also, in order to help low-income City residents to maintain their housing, the City continued to fund its Eviction Prevention program to provide subsistence payments to those City residents in danger of losing their rental housing.

Also by working with the Department of Senior Affairs, CDBG funding was provided to support seniors and persons with disabilities in need of ramps, grab bars and bathroom modifications; modifications which low-income homeowners would not be able to finance on their own. This "retrofit" program was an essential tool to assist elderly homeowners and persons with disabilities in making their houses safe and allowing them to remain at home as they "age in place." In a similar vain, the American Red Cross in New Mexico received CDBG funding to provide minor emergency repairs, often times to the elderly and persons with disabilities. The Home Owner Occupied Rehabilitation Program, too, works with homes to make them livable, decent and safe by addressing dangerous electrical systems, lead-based paint, insufficient structural integrity, antiquated or non-existent mechanical systems and/or inadequate plumbing. By bringing unsafe and substandard housing up to code, "worst-case" housing is addressed.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

In 2012 the City of Albuquerque did not invest any of its Entitlement funds towards a Public Housing Strategy. The City's Public Housing Strategy continues to be implemented through the City's Department of Family and Community Services Albuquerque Housing Services Division.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

In 2012 the City's positive actions to reduce barriers to fair housing choice included the following:

- Continued to work to establish and refine housing and community development Objectives and activities.
- Actively worked with the Albuquerque Coalition to End Homelessness, the Albuquerque Affordable Housing Committee, and the Initiative to End Homelessness to review and discuss housing and homeless prevention policy.
- Increased housing opportunities for persons with disabilities through the funding of homebuyer counseling activities through the Independent Living Resource Center.
- Increased access to affordable housing by funding city-wide homebuyer counseling activities for eligible low-income first time homebuyers.

HOME/ American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives

a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

Project Name	Project Type	# of Units	HOME funds Committed	Total Development Cost	Status
Trumbull Village Infill Development	Homeownership Acquisition/New Construction	14 affordable @ 51-80% MFI	HOME: $770,000.00	$3,397,229	Under Evaluation
Barelas Infill Development	Homeownership Acquisition/New Construction	22 affordable @ 51-80% MFI	HOME: $500,000.00	$4,636,800	Under Evaluation
Arbolera De Vida Phase 2B	Homeownership Acquisition/New Construction	37 affordable @ 51-80% MFI	HOME: $2,733,860.00	$6,516,808	Underway
Broadway Vistas	Homeownership Acquisition/New Construction	19 affordable @ 51-80% 1 market rate	HOME: $800,000.00	$2,874103	Under Evaluation
Trujillo Road	Homeownership Acquisition/New Construction	16 affordable @ 30-80% MFI	HOME: $820,000.00	$2,000,000	Underway
Plaza Feliz	Rental New Construction	55 affrodable @<60% MFI	HOME $1,850,000.00	$11,212,520.00	Completed

As previously mentioned, since 2008, the slow paced housing market has had quite an effect on the City's Affordable Housing Program. In response, the City has taken measures to not over-produce additional homeownership units, as an excess of single family homeownership units still exists. Contractors for the projects mentioned above are expected to market and sell their current inventory before constructing any additional units. The Abolera de Vida and Trujillo road projects have seen a slow trend upward in home sales over the past year. While the remaining affordable housing development projects have been at a standstill with home sales in 2012. All projects have been closely monitored and technical assistance has been given as necessary to ensure that these projects remain viable and/or are re-designed. In 2013 specific projects such as GAHP's Trumbull Village Infill and Barelas Infill Development single family Projects will be converted into multi-family rental projects in compliance with HUD regulations.

2. HOME Match Report

a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.

Match Contributions	
Excess match from prior Federal fiscal year:	$.30
Match contributed during current Federal fiscal year:	$142,555.29
Total match available for current Federal fiscal year:	$142,555.59
Match liability for current Federal fiscal year:	$142,555.59
Excess match carried over to next Federal fiscal year:	$.00

3. HOME MBE and WBE Report

a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

Match Contribution for Federal Fiscal Year			
Project ID	Date of Contribution	Cash (non-Federal sources)	Total Match
3161260	12/2011	$2,138.93	$2,138.93
3161273	03/2012	$40,000.00	$40,000.00
3160795	02/2012	$50,000.00	$50,000.00
3161260	06/2012	$38,832.44	$38,832.44
3161510	09/2012	$11,583.92	$11,583.92

The Office of Neighborhood Revitalization works with a job order contractor to perform construction on its homeowner occupied rehabilitations. The job order contractors reports that none of its contractors/subcontractors were women owned businesses or minority owned during the program year.

4. Assessments

a.

Detail results of on-site inspections of rental housing.

Although the City did perform on-site inspections of all required Continuum of Care (COC) funded projects, the long term compliance monitoring of HOME funded projects did not take place in 2012. While annual monitoring of agencies under contract with the City took place in 2012, long term compliance monitoring of individual rental projects did not. However, this long-term compliance monitoring is scheduled to resume in 2013.

b. Describe the HOME jurisdiction's affirmative marketing actions.

The City requires housing contractors to submit affirmative marketing plans with each application for funding. The City continued to provide affirmative marketing training to agencies as necessary. Training included discussing the step-by step process for developing a plan and provided email addresses for easy access to HUD forms and logos. Furthermore, staff reiterated that all of housing projects,

funded with NSP, HOME, CDBG, UDAG and Workforce Housing, must actively market to tenants and homebuyers who can be identified as "least likely to apply" while meeting federal and local requirements.

c. Describe outreach to minority and women owned businesses.

In addition to WESST Corporation, Community Development's Office of Neighborhood Revitalization contacted the South Valley Small Business Development Center, which promotes minority and women owned businesses, to advise its staff of potential procurement opportunities through the Department's Request for Proposal process. The agency was encouraged to disseminate City RFP opportunities to its clients.

HOMELESS

Homeless Needs

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Identify actions taken to address needs of homeless persons.

The City is working with the non-profit community, the business sector, government and individual volunteers to implement the *Albuquerque Heading Home* homeless initiative. Using the Housing First model, *Albuquerque Heading Home* worked to quickly and permanently house those who are experiencing chronic homelessness and who experiencing severe health problems.

To assist with funding for *Albuquerque Heading Home* the City applied and received a $1.5M for 3 years of SAMHSA (Substance Abuse and Mental Health Services Administration) grant. These funds are assisting in providing supportive services to *Albuquerque Heading Home* participants as well as providing funding for a program director, an evaluator, an outreach coordinator, a housing specialist, and case managers. To assure housing for these participants, the City funded "Housing First Program" has dedicated its housing vouchers solely for this population.

Additionally, in early 2012 the City put out a Request or Proposals (RFP) for its Continuum of Care S+C grants, soliciting proposals from qualified non-profit organizations interested in providing permanent supportive housing for people experiencing homelessness with behavioral health disorder disabilities in a way that is aligned with *Albuquerque Heading Home.*

Also, in 2012 the City funded approximately $2 Million in Public Facility Improvements monies to provide transitional housing and space for a "life skills training academy" for youth transitioning from the foster care system and to provide space for respite care for homeless men.

2. Identify actions to help homeless persons make the transition to permanent housing and independent living.

The City is implementing the homeless initiative *Albuquerque Heading Home*, using the Housing First model, to quickly and permanently those who are experiencing chronic homelessness and who have the most vulnerable risk of morbidity. Also, in addition to providing case management and supportive services, the City's transitional housing programs also use the Housing First approach to assist program participants to transition into permanent housing and independent living. The City is also attaching supportive services to all out Affordable Housing Projects that provide housing to "at-risk" populations

3. Identify new Federal resources obtained from Homeless SuperNOFA.

The Albuquerque Continuum of Care was awarded $4,690,262 to provide housing

and supportive services to the homeless. The Continuum also received an additional $250,000 for its bonus project which was awarded to NewLife Homes' Luna Lodge affordable housing development .

Specific Homeless Prevention Elements

1. Identify actions taken to prevent homelessness.

Error! Reference source not found.CAPER Specific Housing Prevention Elements response:

Over $95,000.00 in CDBG funds were used to support the City's Eviction Prevention Program. The Program provided rental assistance and case management services to 511 low-income households who were facing eviction. The City also used its CDBG funds to support services to low-income City residents that otherwise would go unfunded; projects such as the Emergency/Minor Home Repair program through the Red Cross. Without this important program more than 450 households would be still be living in dangerous housing conditions and "at-risk" for homelessness.

Emergency Shelter Grants (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

During the 2012 Program year, the City of Albuquerque used its HESG funds to support six (6) projects essential to ensuring the accessibility and sustainability of the City's Emergency Homeless Intervention System. Five (5) of the projects supported focused on the provision of emergency shelters and shelter services and one (1) project was targeted at Rapid Re-housing. Of the Emergency Shelter projects, one works specifically with women and children, one specifically with men, one is a day shelter that provides meals to homeless populations, and another project funds the City's Winter Shelter. Monies for these projects are leveraged with City General Funds as well as some CDBG funds.

Additionally the City coordinates its overall homeless prevention and intervention strategies with the Continuum of Care to ensure that all federal funds are used as effectively as possible. Therefore while the City uses the majority of its HESG funds to support emergency shelters and shelter services, the COC provides funds to support transitional housing and permanent supportive housing to homeless populations.

2. Assessment of Relationship of ESG Funds to Goals and Objectives
   a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

Project Name	**Type of Project**	**# Served in CY 2012**	**Funding Amount/Source**	**Five Year Goal**
Barrett House Shelter Services for Women and Children	Emergency Shelter	786 people	$32,000.00 (HESG) $12,000.00 (GF)	2,500 People
St. Martin's Day Shelter Services for Homeless Persons	Emergency Shelter	75,800 people	$148,220.00 (HESG)	547,500 People
Barrett Foundation Rapid Re-Housing	Rapid re-Housing	25 people	$96,087.00 (HESG Match)	*N/A
Albuquerque Rescue Mission Shelter Services for Men	Emergency Shelter	5,274 people	$11,887.00 (HESG) $121,575.00 (Match) $154,00.00 (GF)	4,400 People
Good Shepherd Shelter Services for Men	Emergency Shelter	4,680 people	$63,000.00 (HESG Match)	7,500 people

Barrett House, St Martin's, AHCH, Albuquerque Rescue Mission, and Good Shepherd continued to provide shelter and shelter services to homeless men, women, children, families. All projects were on target for meeting the Five Year Goal.

* The Rapid Re-housing Program is a new program and therefore does not have a five year goal bench mark.

   b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

All City projects are related to the implementation of the City's homeless planning strategy to reduce and prevent homelessness. The City works with providers to ensure that individuals and families staying at shelters are provided with the support and resources that will assist them in getting out of homelessness.

Race		Hispanic
White	52,550	21,546
Black/African American	10,310	206
Asian	1,537	
American Indian/Alaskan Native	14,397	864
Native Hawaiian/Other Pacific Islander	86	5
American Indian /Alaskan Native White	136	11
Black/African American & White	56	18
Other multi-racial	7,425	
Total:	**86,492**	**22,650**

3. Matching Resources
   a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

   Cash came from the General Fund in the amount of $346,062.00.

4. State Method of Distribution
   a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.

N/A

5. Activity and Beneficiary Data
   a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.

The following information is taken from IDIS report PR91 for HESG Program year 2012.

ESG/HESG Program Activity	Funds Committed	% of Grant Committed	Drawn Amount (as of 2/27/2013)
Emergency Shelter Services	$184,228.85	53.24%	$74,197.79
Rapid re-Housing	$109,000.00	31.50%	$0.00
Administration	$25,954.65	7.77%	$0.00
Funds Not Committed	$26,878.50	7.77%	$0.00

   b. Homeless Discharge Coordination
      i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be

used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

N/A

c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

The City currently collaborates and coordinates with institutions to ensure that clients discharged are empowered to live successfully in the community. The City network includes the following:

- Correctional facilities
- Mental Health facilities and hospitals
- Physical rehabilitation centers
- Police and other emergency professionals
- Homeless services providers
- Community substance abuse and mental health professionals
- New Mexico Coalition to End Homelessness

To expand on this network, the City has a Homeless Services web page, http://www.cabq.gov/family/homeless-services/, which provides a homeless provider map and detailed information including websites for agencies. The Homeless Services webpage is also used by the City's 311 Citizen Contact Central Operations Program. Anyone may dial 311 free from any local or pay phone and have access to this information.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
   a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

Provide Suitable Living Environments

Priority Need Category and Eligibility	2012 Proposed projects	2012 Target	2012 Actual	Specific Objectives
Public Facility Improvements	STEP Out: Public Facility Improvements to New Day Shelter for Youth (Homeless Facilities) 570.201 (c)	1 Facility	Underway	Increase the number of homeless persons moving into permanent housing
	MHP Respite Care Project for homeless populations		Underway	
	Barrett House Shelter for Homeless Women and Children (Homeless Facilities) 570.201 (c)		Complete	Improve quality/increase quantity of neighborhood facilities for lower income persons
	St. Martin's ADA Improvements		Complete	
	MHP Portable Building Acquisition		Complete	
	Barelas Community Center Security Improvements		Complete	
	Neighborhood Facilities 570.201(c)		Not implemented in 2012	
Public Improvements	Parks, recreational facilities (570.201 (c)	5 parks	Not Implemented	Improve quality/increase quantity of public improvements for lower income persons
	Safe City Strike Force (Spot Blight Clearance)	65 Units	85 units (2010 funds)	
	Barelas Neighborhood footbridge project	1	Underway	
	San Pablo Street light Project	1	Scheduled for 2013	

Health Services (570,201 (e) LMC	Dental Services for Homeless Populations(Albuquerque Healthcare for the Homeless) Dental Services for Low Income Populations (Community Dental)	1,500 people 3200 people	1,558 people 3,852 people	Improve the services for low/mod income persons
Senior Services 570.201 (e)	Senior Nutritious Meal program	2,000 people	1346 people	Improve the services for low/mod income persons
Child Care Services (570.201 (e)	Pre-school services for Homeless Children and Families	100 children	98 children	End Chronic Homelessness
Public Services General	Neighborhood Large item pick-up Project	8 pick-ups	11,188 City Residents	Improve quality/increase quantity of public improvements for lower income persons
Employment Training 05H	Southwest Creations Training Program	20 people	30 people	Improve the services for low/mod income persons

b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

Priority Need Category and Eligibility	2012 Proposed Projects	Annual Target	2012 Actual	Specific Objective
Owner Occupied Housing (Rehabilitation)	Emergency and minor home repair Home retrofit for seniors and persons with disabilities Homeowner Rehabilitation Program Delivery	450 Households 800 Households 10	506 Households 1,622 Households 5	Improve the quality of owner housing Improve quality of owner housing Improve quality of owner housing
Fair Housing Activities (subject to 20% Admin cap) 570.206	Universal Design Project Outreach (ILRC)	3 projects 3 Outreach activities	Not implemented in 2012 Completed	Increase the range of housing options and related services for persons with special needs

Tenant Landlord Counseling 570.201 (e)	Landlord/Tenant hotline	1000 people	1330 people	Improve access to affordable rental housing
Homeownership Assistance (not direct) 570.204	Homebuyer Counseling for low-moderate eligible homebuyers Homebuyer Counseling for persons with disabilities	400 households	214 Households	Improve access to affordable owner housing Increase the range of housing options and related services for persons with special needs
Subsistence Payments 570.204	Eviction Prevention Motel Voucher	200 Households 195 Households	678 Households 398 Households	End Chronic Homelessness

c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

Over $109,000.00 in CDBG funds were used to support the City's eviction prevention program. The Program provided rental assistance and case management services to 678 low-income households who were facing eviction. Additionally, the City used $9,000.00 in CDBG funds to provide day care services for an estimated 98 children whose families were experiencing homelessness and provided $12,000.00 to shelter 786 homeless women and children. Lastly, as mentioned earlier in the CAPER, $182,000.00 in CDBG funds were used to provide dental services to over 4,000 homeless and/or or near homeless populations.

2. Changes in Program Objectives
   a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

There were no changes to Programming Objectives in 2012. However, because of reductions in its CDBG Entitlement Grant, the City did reduce funding to its "Develop affordable Housing Category" and therefore activities for this category were necessarily reduced.

Assessment of Efforts in Carrying Out Planned Actions

b. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
c. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
d. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.

In 2012 the City of Albuquerque continued to pursue all resources indicated in its 2008-2012 Consolidated Plan. HUD HOME Investment Partnerships Program, Community Development Block Grant and Emergency Shelter Grant Program entitlement funds were applied for and received. Additionally City General funds were used as planned to fund Affordable Housing, Emergency Shelter, and Public Service Projects. Lastly, as indicated in the Consolidated Plan, City Workforce Housing Trust Funds (WFHTF) were renewed in 2011.

During the 2012 program year, the City of Albuquerque continued to implement programming as set out in both its 2008-2012 Consolidated Plan as well as its 2012 Action Plan. The City did not willfully hinder implementation of its Consolidated Plan by any action or willful inaction.

3. For Funds Not Used for National Objectives
   a. Indicate how use of CDBG funds did not meet national objectives.

N/A

   b. Indicate how did not comply with overall benefit certification.

N/A

4. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property
   a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

There were no activities using CDBG funds for any projects involving the acquisition, rehabilitation or demolition of occupied real property.

   b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

N/A

   c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

N/A

5. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
   a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.

   N/A

   b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.

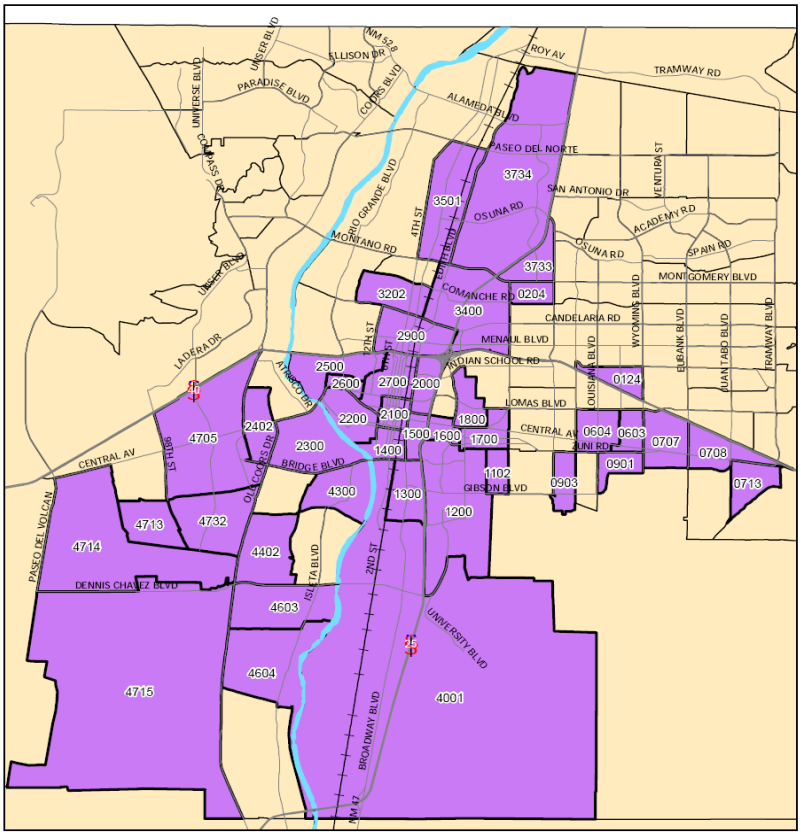
   N/A

c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.

N/A

6. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
   a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.

All projects using CDBG funds that did not fall within a presumed benefit and/or low/moderate income benefit category were implemented in low-to-moderate income census tracts and/or block groups. For example the Neighborhood Large Item Pick-up was implemented in census tracts 6.04 and 9.01 and the Cesar Chavez Security Camera Public Facility Improvement project was implemented in census tract 9.01.



Low to Moderate Income Census Tracts

Legend

Low to Moderate Income

All Other Census Tracts

1/22/2007

7. Program income received

a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.

CDBG Program Income of $98,814.65 is derived from Housing Rehab Loan repayments and Economic Development Loan Fund.

b. Detail the amount repaid on each float-funded activity.
N/A

c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
N/A

d. Detail the amount of income received from the sale of property by parcel.
N/A

8. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
   a. The activity name and number as shown in IDIS;
   N/A
   b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
   N/A
   c. The amount returned to line-of-credit or program account; and
   N/A
   d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.
   N/A

9. Loans and other receivables
   a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
   N/A

   b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.

Single Unit Housing Rehab:
Loans Outstanding: 50 $1,143,565.46

Multi-Family Housing Rehab:
Loans Outstanding: 15 $117,826.07

Economic Development:
Loans Outstanding: 2 $49,854.30

c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.

Deferred Loans:
Loans Outstanding: 2
Term: 10 Years $3,316.30

d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

N/A

e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

N/A

10. Lump sum agreements
    a. Provide the name of the financial institution.

    N/A

    b. Provide the date the funds were deposited.

    N/A

    c. Provide the date the use of funds commenced.

    N/A

    d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.

    N/A

11. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year
    a. Identify the type of program and number of projects/units completed for each program.
    b. Provide the total CDBG funds involved in the program.
    c. Detail other public and private funds involved in the project.

Program Type	**# Units**	**Funds**
Senior Retrofit	1622	$146,570/CDBG
Emergency/Minor Home Repair	506	$800,000/CDBG
Home Owner Rehabilitation	5	*HOME funds were used

12. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies
    a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

N/A

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

The City's anti-poverty strategy for the 2008=2012 Consolidated Plan has two primary elements. The first is to further activities that assist low-income residents in overcoming barriers to employment. Elements of this strategy include support of improvements in education services, including provision of high quality preschool services; support of before and after school activities at City middle and elementary schools that enhance learning; and support of programs designed to assure that low-income high school students remain and succeed in school.

The strategy also calls for a variety of efforts to assist adults in attaining and retaining employment. These include efforts to ensure parents access to affordable, high quality day care for both preschool and school age children, and improved access to affordable primary health care, dental care, mental health services and substance abuse treatment.

The second element of the strategy involves efforts to expand economic opportunities for lower-income residents through economic development planning to increase business growth in lower-income neighborhoods; and efforts to link other City economic development activities such as Industrial Revenue Bonds and Metropolitan Revenue Bonds to expand economic opportunity for low-income persons.

The City has leveraged funds for the creation of a business incubator to be located in the Pocket of Poverty from other City funds. Preliminary 2009 job creation numbers for the WESST Enterprise Center indicate 41 full time jobs were created by businesses housed at the incubator. The businesses report 5.5 million dollars in revenue and 2.4 million dollars in gross payroll.

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Project Name	# of Units	# Units for Special Needs populations	Supportive Services onsite
Luna Lodge	30	10	No
Plaza Feliz	66 units	4 units for Special Needs	Yes
1023 Central	10 units	10 units for Persons With HIV	Yes
Sundowner	18	71	No

Specific HOPWA Objectives

N/A

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives
   Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
   a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
   b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
   c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
   d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
   e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
   f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.

2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
   a. Grantee Narrative
      i. Grantee and Community Overview
         (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
         (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
         (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
         (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
         (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
         (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.

ii. Project Accomplishment Overview
   (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
   (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
   (3) A brief description of any unique supportive service or other service delivery models or efforts
   (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.

iii. Barriers or Trends Overview
   (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
   (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
   (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years

b. Accomplishment Data
   i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
   ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

Error! Reference source not found.CAPER Specific HOPWA Objectives response:

OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.

Error! Reference source not found.CAPER Other Narrative response:

APPENDIX A: Tables 1C, 2C from 2008-2012 Consolidated Plan

2. Housing Goals for CDBG and HOME Investment Partnership Funds (Modified Table 2C)
The following chart provides the five-year and annual investment goals for CDBG and HOME funds.

Housing							
Priority 1. Expand supply of affordable housing, especially for very low-income and special needs populations such as elderly, persons with disabilities and emancipated youth.							
Objective	**HUD Matrix**	**National Objective**	**Accomplishment Type**	**Outcome**	**5-Year/Annual Target**	**Program Year**	**Actual**
H 1. Utilize CDBG funds to provide emergency and minor home repairs for low- and moderate-income persons.	14A	LMH	Households	Sustainability	2,250	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Provide emergency and minor home repairs for low- and moderate-income persons.	**14A**	**LMH**	**Households**	**Sustainability**	**450**		
H 2. Utilize CDBG funds to provide home retrofit services for persons who are elderly or disabled in order to assist them remaining in their homes and living independent.	03	LMH	Households	Sustainability	4,000	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Utilize CDBG funds to provide home retrofit services for persons who are elderly or disabled	**03**	**LMH**	**Households**	**Sustainability**	**800**		
H 3. Utilize HOME and ADDI funds to provide down payment assistance to first-time low- and moderate-income homebuyers.	13	LMH	Households	Affordability	75	2008 2009 2010 2011 2012	
PY 08 Annual Action Plan Activity: Provide down payment assistance to first-time low- and moderate-income homebuyers.	**13**	**LMH**	**Households**	**Affordability**	**15**		

CONSOLIDATED PLAN AND WORKFORCE HOUSING PLAN • Albuquerque, New Mexico • 2008-2012

H 4. Utilize CDBG and HOME funds to rehabilitate housing for low- and moderate-income homeowners.	14A	LMH	Households	Affordability	225	2008 2009 2010 2011 2012	
PY 08 Annual Action Plan Activity: Rehabilitate housing for low- and moderate-income homeowners.	**14A**	**LMH**	**Households**	**Affordability**	**45**		
H 5. Utilize CDBG and HOME funds to expand the supply of rental housing affordable to very low-income persons, including persons with disabilities, the elderly, and near homeless persons.	12	LMH	Units	Affordability	200	2008 2009 2010 2011 2012	
PY 08 Annual Action Plan Activity: Expand the supply of rental housing affordable to very low-income persons, including persons with disabilities, the elderly, and near homeless persons.	**12**	**LMH**	**Units**	**Affordability**	**40**		
H 6. Utilize CDBG and HOME funds to expand the supply of affordable housing for home ownership for low- and moderate-income persons.	12	LMH	Households	Affordability	190	2008 2009 2010 2011 2012	
PY 08 Annual Action Plan Activity: Expand the supply of affordable housing for home ownership for low- and moderate-income persons.	**12**	**LMH**	**Households**	**Affordability**	**50**		

Priority 2. Preserve Existing Housing							
Objective	**HUD Matrix**	**National Objective**	**Accomplishment Type**	**Outcome**	**5-Year/Annual Target**	**Program Year**	**Actual**
H.7 Utilize CDBG and HOME funds to acquire and rehabilitate residential properties to be used for transitional housing.	14B	LMC	People	Affordability	TBD	2008 2009 2010 2011 2012	

PY 08 Annual Action Plan Activity: Work with transitional housing providers to scope potential project sand determine a sponsor	**14B**	**LMC**	**People**	**Affordability**			
H 8. Utilize CDBG and HOME funds to rehabilitate units that are condemned with safe, decent and affordable housing units.	14G	LMH	Units	Affordability	5	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Develop a pilot project to ascertain process, cost and implementation procedures	**14G**	**LMH**	**Units**	**Affordability**	**1**		

H 9. Utilize CDBG and HOME funds to convert declining properties (such as Central Avenue motels) to single room occupancy (SRO) units for very low-income persons.	14G	LMH	Units	Affordability	3	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Convert declining properties (such as Central Avenue motels) to single room occupancy (SRO) units for very low-income persons.	14G	LMH	Units	Affordability	1		

Priority 3. Improve Access to Affordable Housing for Very low- and Low-Income Renters and Persons with Special Needs.							
Objective	**HUD Matrix**	**National Objective**	**Accomplishment Type**	**Outcome**	**5-Year/Annual Target**	**Program Year**	**Actual**
H 10. Utilize CDBG and HOME funds to provide a community education program to landlords, realtors and developers on the housing needs of people with disabilities.	21D	LMC	People	Accessibility	2,500	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Provide a community education program to landlords, realtors and developers on the housing needs of people with disabilities.	**21D**	**LMC**	**People**	**Accessibility**	**500**		

H 11. Utilize CDBG funds to provide information on tenant and landlord rights and responsibilities.	21D	LMC	People	Accessibility	6,500	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Provide information on tenant and landlord rights and responsibilities	**21D**	**LMC**	**People**	**Accessibility**	**1,300**		
H 12. Utilize CDBG funds to provide Fair Housing counseling with emphasis on ADA compliance and housing rights of immigrants.	21D	LMC	People	Accessibility	5,500	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Provide Fair Housing Counseling with emphasis on ADA compliance and housing rights of immigrants.	**21D**	**LMC**	**People**	**Accessibility**	**1,100**		

3. Workforce Housing Goals and Objectives

The chart below provides the overall strategic goals and objectives for Workforce Housing along with production goals.

Five-Year Goals	Five-Year Objectives	One-Year Objectives 1/08 – 12/08	Five-Year Production Goals 2008 - 2012	Annual Production Goals	Organizations Committed to WHOA Production
• Preserve existing affordable housing	• Develop program to rehabilitate units that are condemned with safe, decent and affordable units • Convert declining properties (such as Central Avenue motels) to single room occupancy	• Obtain site control for first rehab property • Obtain site control for an SRO	• 50 units • Obtain 3 properties	• Yr 1 = 5 • Yr 2 = 11 • Yr 3 = 11 • Yr 4 = 11 • Yr 5 = 12	Work on completing this column was not undertaken during the Plan preparation process because it became clear while working with three Subcommittees that included 29

Public Services							
Priority 1. Improve eviction and homeless prevention assistance							
Objective	**HUD Matrix**	**National Objective**	**Accomplishment Type**	**Outcome**	**5-Year/Annual Target**	**Program Year**	**Actual**
PS 1. Utilize CDBG funds to expand eviction prevention program to include rental assistance and case management services for low- and moderate-income persons who are facing eviction and where nominal assistance can enable them to remain in their apartments.	05	LMH	Households	Affordability	1000 Households	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Fund an eviction prevention program to include rental assistance and case management services for low- and moderate-income persons who are facing eviction and where nominal assistance can enable them to remain in their apartments.	**05**	**LMH**	**Households**	**Affordability**	**200 Households**		
Priority 2. Continue programs to maintain and strengthen the social networks, independence and quality of life for persons who are elderly, disabled, homeless and/or have other special needs.							
PS 2. Utilize CDBG funds to provide comprehensive dental services to low- and moderate-income person and to persons who are homeless.	05M	LMC	People	Accessibility	AHCH-7,500 CD – 17,500	2008 2009 2010 2011 2012	
PY 08 Annual Plan Activity: Provide dental care services in community based dental clinics.	**05M**	**LMC**	**People**	**Accessibility**	**ACHC-1,500 CD- 3,500**		
PS 3. Utilize CDBG funds to provide daily nutritious meals to persons who are elderly.	05A	LMC	People	Accessibility	10,000	2008 2009 2010 2011 2012	
PY08 Action Plan Activity: Provide daily nutritious meals to persons who are elderly.	**05A**	**LMC**	**People**	**Accessibility**	**2,000**		
PS 4. Utilize CDBG funds to provide day care services for children whose families are homeless.	03/T	LMC	People	Accessibility	500	2008 2009 2010 2011 2012	
PY08 Action Plan: Provide day care services for children whose families are homeless.	**03/T**	**LMC**	**People**	**Accessibility**	**100**		

PS 5. Utilize CDBG funds to provide motel vouchers for persons who are homeless.	03/T	LMC	People	Affordability	975	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide motel vouchers for persons who are homeless.	**03/T**	**LMC**	**People**	**Affordability**	**195**		
PS 6. Utilize CDBG funds to provide employment assistance to immigrant women.	05	LMC	People	Accessibility	125	2008 2009 2010 2011 2012	
PY08 Action Plan Activity: Provide employment assistance to immigrant women	**05**	**LMC**	**People**	**Accessibility**	**25**		
PS 7. Utilize CDBG and ESG funds to provide overnight shelter to women and children who are homeless	05	LMC	People	Accessibility	2,500	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide overnight shelter to women and children who are homeless	**05**	**LMC**	**People**	**Accessibility**	**500**		
PS 8. Utilize CDBG funds to provide special garbage pick-up for neighborhood clean-up events located in low- and moderate-income neighborhoods	05	LMA	Area	Sustainability	300	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide special garbage pick-up for neighborhood clean-up events located in low- and moderate-income neighborhoods	**05**	**LMA**	**Area**	**Sustainability**	**60**		
PS 9. Utilize CDBG funds to provide homebuyer counseling to low- and moderate-income first time homebuyers.	05R	LMH	Households	Affordability	2,000	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide homebuyer counseling to low- and moderate-income first time homebuyers.	**05R**	**LMH**	**Households**	**Affordability**	**400**		

Emergency Shelter Grant							
Priority 1. Provide Emergency Shelter for Persons who are Homeless							
Objective	**HUD Matrix**	**National Objective**	**Accomplishment Type**	**Outcome**	**5-Year/Annual Target**	**Program Year**	**Actual**
ESG 1. Utilize CDBG and ESG funds to provide overnight shelter to women and children who are homeless	05	LMC	People	Accessibility	2,500	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide overnight shelter to women and children who are homeless	**05**	**LMC**	**People**	**Accessibility**	**500**		
ESG 2. Utilize ESG funds to provide Day Shelter Services for persons who are homeless	05	LMC	People	Accessibility	300/day	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide Day Shelter Services for persons who are homeless	**05**	**LMC**	**People**	**Accessibility**	**300/day**		
ESG 3. Utilize ESG match funds to provide overnight shelter to men who are homeless	05	LMC	People	Accessibility	7,500	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide overnight shelter to men who are homeless	**05**	**LMC**	**People**	**Accessibility**	**1,500**		
ESG 3. Utilize ESG funds to provide overnight shelter to men and women who are homeless during the winter months	05	LMC	People	Accessibility	4,400	2008 2009 2010 2011 2012	
PY 08 Action Plan Activity: Provide overnight shelter to men and women during the winter months who are homeless	**05**	**LMC**	**People**	**Accessibility**	**880**		

APPENDIX B: HUD 4107-A

Annual Performance Report
HOME Program

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: **HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410**	This report is for period (mm/dd/yyyy) Starting	Ending	Date Submitted (mm/dd/yyyy)
	01/01/2012	01/01/2012	

Part I Participant Identification

1. Participant Number	2. Participant Name		
85-6000102	CITY OF ALBUQUERQUE		
3. Name of Person completing this report	4. Phone Number (Include Area Code)		
5. Address	6. City	7. State	8. Zip Code

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
0.00	150,297.20	150,297.20		0.00

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE) b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
A. Contracts 1. Number	0					
2. Dollar Amount	0.00					
B. Sub-Contracts 1. Number	0					
2. Dollar Amount	0.00					

	a. Total	b. Women Business Enterprises (WBE)	c. Male
C. Contracts 1. Number	0		
2. Dollar Amount	0.00		
D. Sub-Contracts 1. Number	0		
2. Dollar Amounts	0.00		

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount	0.00					

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	0	0.00
2. Businesses Displaced	0	0.00
3. Nonprofit Organizations Displaced	0	0.00
4. Households Temporarily Relocated, not Displaced	0	0.00

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0					
6. Households Displaced - Cost	0.00					

APPENDIX C: PR 26 Report

Office of Community Planning and Development
U.S. Department of Housing and Urban Development
Integrated Disbursement and Information System
PR26 - CDBG Financial Summary Report
Program Year 2012
ALBUQUERQUE , NM

DATE: 05-23-13
TIME: 10:07
PAGE: 1

PART I: SUMMARY OF CDBG RESOURCES	
01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	10,827,811.50
02 ENTITLEMENT GRANT	3,775,545.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	98,814.65
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	21,151.50
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	14,723,322.65
PART II: SUMMARY OF CDBG EXPENDITURES	
09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	2,724,532.32
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	2,724,532.32
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	868,015.09
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	3,592,547.41
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	11,130,775.24
PART III: LOWMOD BENEFIT THIS REPORTING PERIOD	
17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	74,480.77
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,563,649.14
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	2,638,129.91
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	96.83%
LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS	
23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2012 PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	2,638,129.91
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	2,638,129.91
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	100.00%
PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS	
27 DISBURSED IN IDIS FOR PUBLIC SERVICES	588,409.69
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	134,589.51
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	69,698.39
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	653,300.81
32 ENTITLEMENT GRANT	3,775,545.00
33 PRIOR YEAR PROGRAM INCOME	94,664.36
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	13,471.73
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	3,883,681.09
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	16.82%
PART V: PLANNING AND ADMINISTRATION (PA) CAP	
37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	868,015.09
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	130,924.16
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	1,008,006.17
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	(9,066.92)
42 ENTITLEMENT GRANT	3,775,545.00
43 CURRENT YEAR PROGRAM INCOME	98,814.65
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	3,874,359.65
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	(0.23%)

LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	3	1333	NMAIDS Affordable Housing Project	14B	LMH	$53,329.27
2008	28	1269	3160796/3161272/2932203/2932201 GAHP Barelas Dev	12	LMH	$120,170.65
2005	32	1049	3160561/2932203-GAHP Trumbull Village	12	LMH	$4,300.00
2006	50	1087	3160795-SAWMILL HOMEBUYER SUBSIDIES	12	LMH	$4,422.35
Total						**$182,222.27**

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
1994	2	2	5373964	CDBG COMMITTED FUNDS ADJUSTMENT	05	LMA	($21,151.50)
2005	32	1049	5381251	3160561/2932203-GAHP Trumbull Village	12	LMH	$4,300.00
2006	50	1087	5381251	3160795-SAWMILL HOMEBUYER SUBSIDIES	12	LMH	$4,422.35
2008	2	1213	5381251	2929230-CPTED-Neighborhood Improvement	03	LMA	$2,162.20
2008	6	1211	5381251	2929210-St. Martins Access Improvements for Disabled PF&I	03	LMA	$130,000.00
2008	28	1269	5381251	3160796/3161272/2932203/2932201 GAHP Barelas Dev	12	LMH	$4,300.00
2008	28	1269	5441781	3160796/3161272/2932203/2932201 GAHP Barelas Dev	12	LMH	$111,539.50
2008	28	1269	5476546	3160796/3161272/2932203/2932201 GAHP Barelas Dev	12	LMH	$4,331.15
2009	2	1247	5381251	29330051-John Marshall Security Improvements	03	LMA	$564.75
2009	48	1183	5381251	2930150- Winter Emergency Shelter- REHAB	03	LMC	$5,874.06
2009	51	1201	5381251	Housing Rehabilitation- Program Delivery	14H	LMH	$58,878.92
2009	51	1201	5441781	Housing Rehabilitation- Program Delivery	14H	LMH	$187,552.08
2009	51	1201	5476546	Housing Rehabilitation- Program Delivery	14H	LMH	$77,557.47
2009	51	1201	5492146	Housing Rehabilitation- Program Delivery	14H	LMH	$36,128.42
2009	53	1202	5476546	Fire Station #7 Improvements	03O	LMA	$26,518.13
2009	53	1202	5492146	Fire Station #7 Improvements	03O	LMA	$12,778.79
2011	9	1279	5381251	ARC-Emergency Minor Home Repairs	14A	LMH	$223,544.66
2011	10	1266	5381251	DSA-Senior Nutrition Program	05A	LMC	$23,073.12
2011	10	1267	5381251	DSA/ Senior Retrofit Program	14A	LMH	$28,868.38
2011	10	1274	5381251	2932330-Eviction Prevention - Catholic Charities	05	LMC	$15,741.52
2011	10	1276	5381251	2932440-Law Access/Landlord-Tenant Hotline	05K	LMC	$11,065.07
2011	10	1278	5381251	ILRC Homebuyer Counselng	05U	LMC	$26,879.36
2011	10	1288	5381251	Cuidando Los Ninos	05	LMC	$3,114.25
2011	10	1289	5381251	2932430-Eviction Prevention - YDI	05	LMC	$10,976.57
2011	10	1303	5381251	2932340-COA Neighborhood Clean-up	05	LMA	$16,550.00
2012	1	1327	5492146	MHP/Respit Exansion Project	03C	LMC	$320,839.76
2012	1	1328	5492146	A New Day Inc. / Step Out Project	03C	LMC	$49,995.44
2012	3	1308	5441781	American Red Cross- Emergency Repairs	14A	LMH	$322,797.65
2012	3	1308	5476546	American Red Cross- Emergency Repairs	14A	LMH	$153,014.98
2012	3	1308	5492146	American Red Cross- Emergency Repairs	14A	LMH	$120,411.57
2012	3	1314	5441781	2933202-Sawmill Community Land Trust Counseling	05R	LMH	$10,858.91
2012	3	1314	5476546	2933202-Sawmill Community Land Trust Counseling	05R	LMH	$3,352.72
2012	3	1314	5492146	2933202-Sawmill Community Land Trust Counseling	05R	LMH	$3,058.49
2012	3	1315	5441781	2933250- CoA DSA/Retrofit Program	14A	LMH	$43,439.10
2012	3	1315	5476546	2933250- CoA DSA/Retrofit Program	14A	LMH	$35,646.26
2012	3	1315	5492146	2933250- CoA DSA/Retrofit Program	14A	LMH	$9,773.83
2012	3	1323	5441781	GAHP Homebuyer Counseling	05R	LMH	$12,975.00
2012	3	1323	5476546	GAHP Homebuyer Counseling	05R	LMH	$6,276.72
2012	3	1323	5492146	GAHP Homebuyer Counseling	05R	LMH	$130.27
2012	4	1306	5441781	AHCH/ Dental Program	05M	LMC	$36,928.22

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	4	1306	5476546	AHCH/ Dental Program	05M	LMC	$25,071.78
2012	4	1307	5441781	CoA/ Senior Affairs/ Nutrition Services	05A	LMC	$35,621.22
2012	4	1307	5476546	CoA/ Senior Affairs/ Nutrition Services	05A	LMC	$26,477.70
2012	4	1307	5492146	CoA/ Senior Affairs/ Nutrition Services	05A	LMC	$12,492.58
2012	4	1309	5441781	AHCH/ MOTEL VOUCHER PROGRAM	05	LMC	$4,236.88
2012	4	1309	5476546	AHCH/ MOTEL VOUCHER PROGRAM	05	LMC	$13,533.58
2012	4	1310	5441781	BARRETT HOUSE/ SHELTER PROGRAM	05	LMC	$12,000.00
2012	4	1311	5441781	EVICTION PREVENTION- YDI	05Q	LMC	$23,666.65
2012	4	1311	5476546	EVICTION PREVENTION- YDI	05Q	LMC	$24,461.67
2012	4	1311	5492146	EVICTION PREVENTION- YDI	05Q	LMC	$26,857.07
2012	4	1312	5441781	2933440- LAW ACCESS/LANDLORD TENANT HOTLINE	05K	LMC	$18,346.56
2012	4	1312	5476546	2933440- LAW ACCESS/LANDLORD TENANT HOTLINE	05K	LMC	$14,567.48
2012	4	1312	5492146	2933440- LAW ACCESS/LANDLORD TENANT HOTLINE	05K	LMC	$16,816.27
2012	4	1316	5441781	2933395- ILRC Homebuyer Counseling	05U	LMC	$10,575.79
2012	4	1316	5476546	2933395- ILRC Homebuyer Counseling	05U	LMC	$11,855.74
2012	4	1318	5441781	2933420- SOUTHWEST CREATIONS	05H	LMC	$23,000.00
2012	4	1322	5441781	Community Dental Services	05M	LMC	$79,506.08
2012	4	1322	5476546	Community Dental Services	05M	LMC	$40,493.92
2012	4	1326	5476546	2933360-Cuidando Los Ninos Day Care	05	LMC	$9,000.00
Total							**$2,563,649.14**

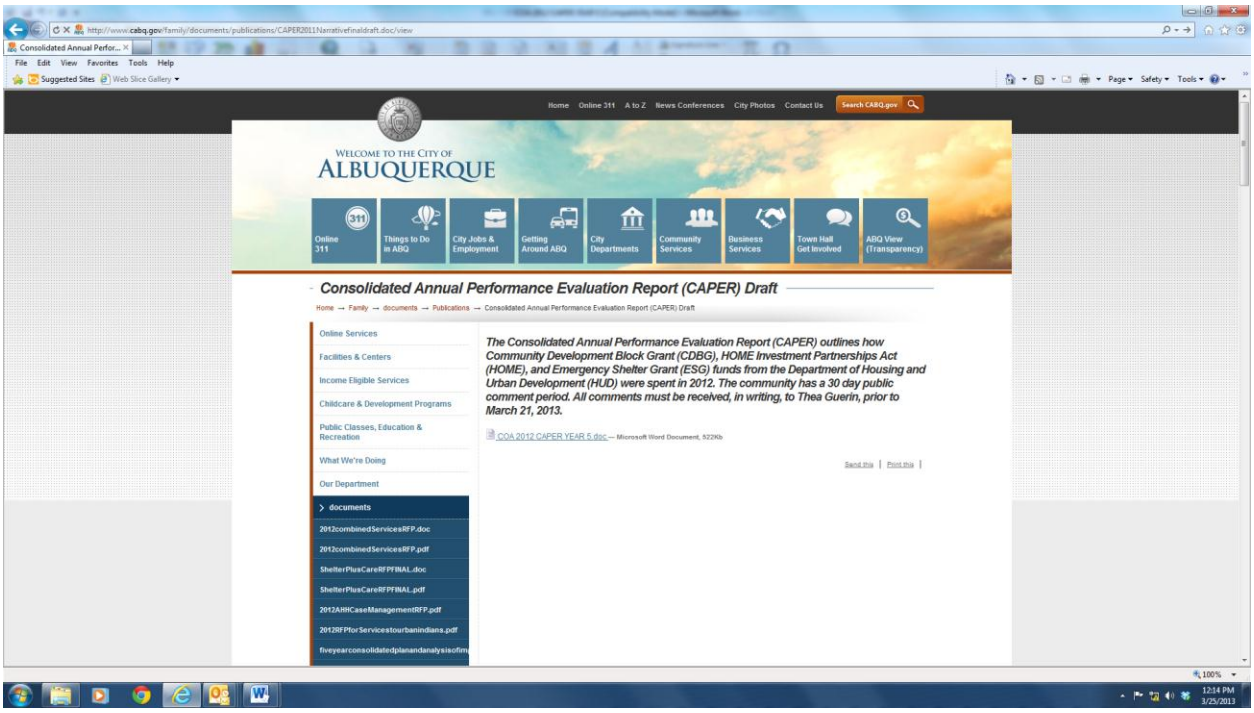
EXHIBIT

DB #140042297

City of Albuquerque Family and Community Services Department
Community Development Block Grant
2011 PROGRAM INCOME SUMMARY

Other loan repayments by category:			
Economic Development Loan Fund	1,700.00		
Nusiance Abatement Lien Refunds	-		
Wells Fargo Bank	-		
Sawmill Community Land Trust	150.00		
		1,850.00	
Single-Unit Housing Rehab Loan repayments			
Ameri Natl Account # 387 & 1140	90,298.10		
New Mexico Mortgage Finance Authority-NHS	-		
Miscellaneous Loans	-		
		90,298.10	
Multi-Unit Housing Rehab loan repayments			
Ameri Natl Account # 385	15,987.99		
		15,987.99	
Sale of Real Property/Equipment			
Auction Proceeds		-	
Adjustments:			
Interest earned on Rehab payments	-		
Interest earned on CD payments	-		
Reimbursement to HUD			
TOTAL PROGRAM INCOME RECEIVED PROGRAM YEAR 2011 as of 12/31/2011			**$ 108,136.09**
AdjustmentReceipt $19,365.12 Drawn $17084.79 equals adjustment			$ 2,280.33
November & December 2011 PI Adjustment			$ (15,751.46)
October PI Adjustment			$ (0.60)
Adjusted Balance (Line 33 PR26)			$ 94,664.36
Above Adjustment			$ (2,280.33)
Above Adjustment			$ 15,751.46
Above Adjustment			$ 0.60
Line 34 Adjustment PR26			**$ 13,471.73**

APPENDIX D: CITIZEN PARTICIPATION



NOTICE OF PUBLIC MEETING

The City of Albuquerque Family & Community Services Department will hold a public meeting on Wednesday, February 20, 2013 at 5:30 pm at the Los Griegos Health & Social Services Center at 1231 Candelaria NW. The purpose of the meeting is to present the Department's 2012 HUD Consolidated Annual Evaluation Performance report (CAPER) and to provide an opportunity for City residents to give input. This Report discusses how the Department allocated and spent its Community Development Block Grant (CDBG), HOME Investment Partnership (HOME) and Emergency Shelter Grant (ESG) Entitlement funds.

For more information, please email tguerin@cabq.gov or call 768-2758. For individuals with disabilities who need assistance to benefit from this meeting, please call Amanda Lujan at 768-2913 or (TTY) 1-800-659-8331.

APPENDIX E: ESG IDIS Report

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	ALBUQUERQUE
Organizational DUNS Number	615720401
EIN/TIN Number	856000102
Indentify the Field Office	ALBUQUERQUE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	MS.
First Name	VALORIE
Middle Name	A
Last Name	VIGILE
Suffix	0
Title	ESG Director/Administrator

ESG Contact Address

Street Address 1	P. O. BOX 1293
Street Address 2	400 MARQUETTE NW ROOM 504
City	ALBUQUERQUE
State	NM
ZIP Code	87103-
Phone Number	5057682860
Extension	0
Fax Number	5057683204
Email Address	Email Required

ESG Secondary Contact

Prefix	MS.
First Name	HEIDILIZA
Last Name	JORDON
Suffix	0
Title	ESG Program Contact

Phone Number	5057682868
Extension	0
Email Address	Email Required

2. Reporting Period—All Recipients Complete

Program Year Start Date	01/01/2012
Program Year End Date	12/31/2012

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a VAWA-DV provider
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused	0
Missing Information	0
Total	**0**

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	10
Children	15
Don't Know/Refused	0

Missing Information	0
Total	**25**

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	83,261
Children	3,672
Don't Know/Refused	0
Missing Information	0
Total	**86,933**

Table 3 – Shelter Information

4d. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	83,271
Children	3,687
Don't Know/Refused	0
Missing Information	0
Total	**86,958**

Table 4 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgendered	0
Unknown	86,958
Total	**86,958**

Table 5 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	3,687
18-24	0
Over 24	0
Don't Know/Refused	0
Missing Information	83,271
Total	**86,958**

Table 6 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	145	0	0	145
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 7 – Special Population Served

CR-70 – Assistance Provided

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	385
Total Number of bed-nights provided	385
Capacity Utilization	100.00%

Table 8 – Shelter Capacity

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2009	**FY 2010**	**FY 2011**
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	**0**	**0**	**0**

Table 9 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2009	**FY 2010**	**FY 2011**
Expenditures for Rental Assistance	0	0	109,000
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	**0**	**0**	**109,000**

Table 10 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2009	**FY 2010**	**FY 2011**
Essential Services	0	0	184,228
Operations	0	0	0
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0

Subtotal	**0**	**0**	**184,228**

Table 11 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2009	**FY 2010**	**FY 2011**
HMIS	0	0	0
Administration	0	0	25,954
Street Outreach	0	0	0

Table 12 Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2009	FY 2010	FY 2011
319,182	0	0	319,182

Table 13 Total ESG Funds Expended

11f. Match Source

	FY 2009	FY 2010	FY 2011
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	446,662
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	**0**	**0**	**446,662**

Table 14 Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2009	FY 2010	FY 2011
765,844	0	0	765,844

Table 15 - Total Amount of Funds Ex